

# The univalence principle

Paige Randall North

Universiteit Utrecht

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# Outline

- ① Background on type theory and univalent foundations
- ② The univalence principle<sup>1</sup>

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<sup>1</sup>jww Ahrens, Shulman, Tsementzis

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# Type theory's beginnings

- 1970s: Martin-Löf introduces his type theory
  - As a self-sufficient foundation of mathematics
  - Well-suited for machine verification

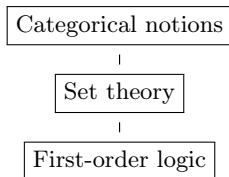
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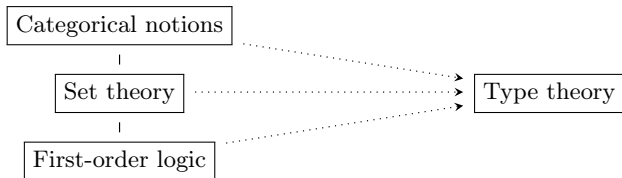


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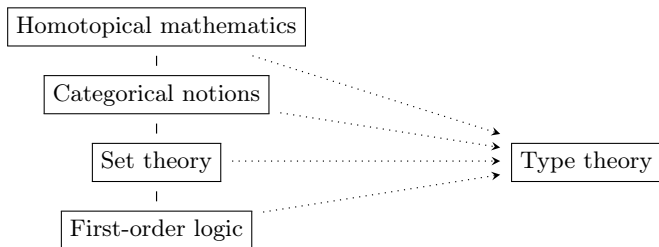


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# What does type theory look like?

- In mathematics, statements look like the following:
  - Consider a natural number  $n$ . The sum  $n + n$  is even.
  - Consider a space  $X$ . The cone on  $X$  is contractible.
  - Consider a diagram  $D$ . The collection of 4-manifolds described by  $D$  is contractible.<sup>3</sup>
- In type theory, we write this as
  - $n : \mathbb{N} \vdash e(n) : \text{isEven}(n + n)$
  - $X : \text{Spaces} \vdash c(X) : \text{isContr}(CX)$
  - $D : \text{Diagrams} \vdash p(X) : \text{isContr}(\text{Man}(X))$ <sup>3</sup>

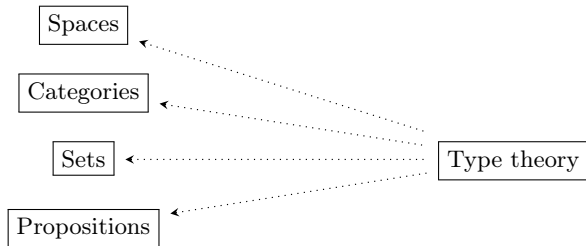
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<sup>3</sup>Following David Gay's talk. You need to add things to HoTT to actually to handle manifolds well.

# Interpretations of type theory into classical mathematics

**Classical mathematics:**

**Mathematics à la Martin-Löf:**



# Interpretations of type theory into classical mathematics

<b>Types</b>	<b>Terms</b>	<b>Product</b>	<b>Equality</b>
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<b>Sets</b>	elements	$\times$	$=$
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# Different notions of equality

## Synthetic vs. analytic equalities

In type theory with the equality type, we always have a (“synthetic”) equality type between  $a, b : D$

$$a =_D b.$$

Depending on the type  $D$ , we might also have a type of “analytic” equalities

$$a \simeq_D b.$$

A *univalence principle* for this  $D$  and this  $\simeq_D$  states that

$$(a =_D b) \rightarrow (a \simeq_D b)$$

is an equivalence.

# Identicals and indiscernibilities

## Identity of indiscernibles

Leibniz: two things are equal when they are *indiscernible* (have the same properties).

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- This holds in type theory.
- Given a univalence principle  $(a =_D b) \simeq (a \simeq_D b)$ , we find an *equivalence principle*:

$$(a \simeq_D b) \rightarrow \left( \prod_{P:D \rightarrow \mathbf{Type}} P(a) \simeq P(b) \right).$$



# Univalence

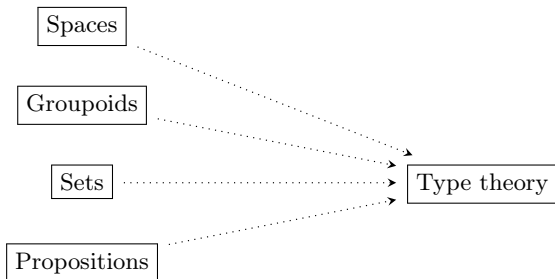
- We've seen that equality in type theory can be interpreted as notions weaker than classical equality (e.g. isomorphism, paths).
- Voevodsky imported weakness for equality from the interpretation in spaces into type theory by imposing the *Univalence Axiom* (UA):

The canonical function  $(A =_{\text{Type}} B) \rightarrow (A \simeq B)$  is an equivalence of types, for any types  $A$  and  $B$ .

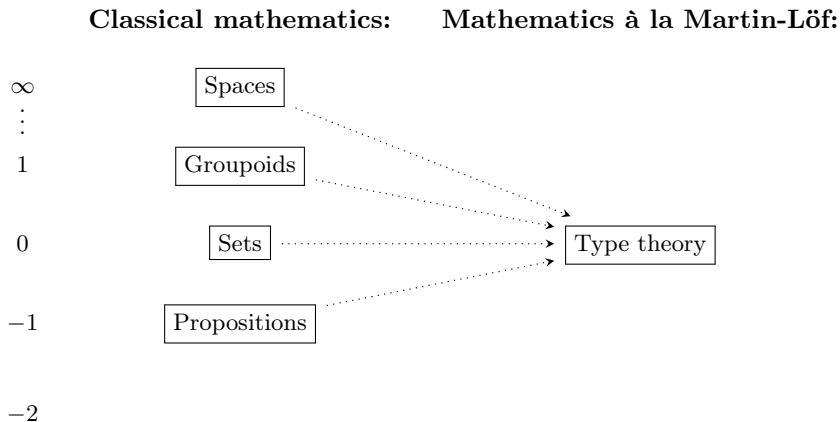
- UA is validated by the interpretation into spaces, but not into propositions, sets, or groupoids.
- Instead we **internalize** these notions.

# Internalization of classical mathematics into type theory

**Classical mathematics:**      **Mathematics à la Martin-Löf:**



# Internalization of classical mathematics into type theory



A type  $T$  has  $h$ -level  $n$  if  $\pi_m(T) = *$  for all  $m > n$  (roughly).

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- If two types  $A, B$  are propositions,

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- For types  $A, B$  which are structured sets (groups, rings, etc),

$$(A =_{\text{Grp}} B) \stackrel{UA}{\simeq} (A \simeq B) \simeq (A \cong B)$$

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- For *univalent* categories  $A, B$ ,

$$(A =_{\text{UCat}} B) \stackrel{UA}{\simeq} (A \simeq B) \simeq (A \simeq B)$$

so everything respects equivalence of univalent categories.<sup>5</sup>

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<sup>4</sup>Coquand-Danielsson 2013

<sup>5</sup>Ahrens-Kapulkin-Shulman 2015



# Univalent mathematics

- Voevodsky dreamt of ‘univalent mathematics’ in which

$$(A =_D B) \simeq (A \simeq_D B)$$

where  $D$  is any type of mathematical object (propositions, sets, groups, categories,  $\infty$ -categories, etc) and  $\simeq_D$  is the appropriate notion of ‘sameness’ for that type of objects.

- This would give us an appropriate language in which to study  $D$ .

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# Structures

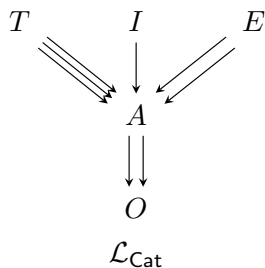
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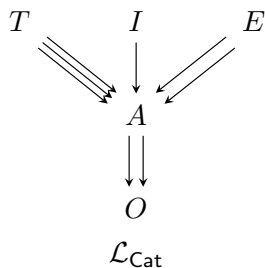
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- An  $\mathcal{L}_{\text{Cat}}$ -structure  $\mathcal{C}$  consists of:



- $CO : \text{Type}$
- $x, y : CO \vdash CA(x, y) : \text{Type}$
- $x : CO, f : CA(x, x) \vdash CI_x(f) : \text{Type}$
- $x, y, z : CO, f : CA(x, y), g : CA(y, z), h : CA(x, z) \vdash CT_{x,y,z}(f, g, h) : \text{Type}$
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  - $x, y, z : CO, f : CA(x, y), g : CA(y, z), h : CA(x, z) \vdash CT_{x,y,z}(f, g, h) : \mathbf{Type}$
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- Then we add axioms.

## Level-wise equivalence

### Proposition

For two  $\mathcal{L}$ -structures  $S, T$ ,

$$(S =_{\mathcal{L}\text{-Str}} T) \simeq (S \cong_{\mathcal{L}\text{-Str}} T)$$

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A levelwise equivalence  $\mathcal{C} \cong_{\mathcal{L}\text{Cat-Str}} \mathcal{D}$  consists of:

- $e_O : \mathcal{C}O \xrightarrow{\sim} \mathcal{D}O$
- $x, y : \mathcal{C}O \vdash e_A : \mathcal{C}A(x, y) \xrightarrow{\sim} \mathcal{D}(e_Ox, e_Oy)$
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And is it appropriate to call  $\mathcal{C}, \mathcal{D}$  categories?

# Indiscernibility

## Definition

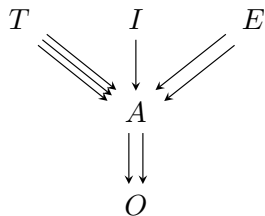
Given an  $\mathcal{L}$ -structure  $M$ , and an object  $S$  of  $\mathcal{L}$ , we say that two elements  $x, y : MS$  are *indiscernible* if substituting  $x$  for  $y$  in any object of  $\mathcal{L}$  that depends on (i.e. object with a morphism to)  $S$  produces equivalent types.

## Definition

An  $\mathcal{L}$ -structure  $M$  is *univalent* if for any object  $S$  of  $\mathcal{L}$ , and any  $x, y : MS$ , the type of indiscernibilities between  $x$  and  $y$  is equivalent to the type of equalities between  $x$  and  $y$ .

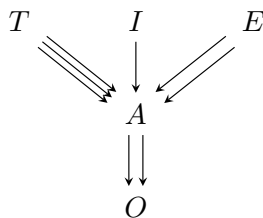
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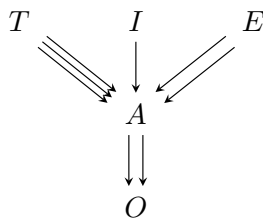
Let  $\mathcal{C}$  be a univalent  $\mathcal{L}_{\text{cat}}$  structure.



- Any two terms  $x : \mathcal{C}O, f : \mathcal{C}A(x, x) \vdash i, j : \mathcal{C}I_x(f)$  are indiscernible.
  - Each  $\mathcal{C}I_x(f)$  is a proposition.
  - Similarly, each  $\mathcal{C}T_{x,y,z}(f, g, h), \mathcal{C}E_{x,y}(f, g)$  is a proposition.

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  - Similarly, each  $\mathcal{C}T_{x,y,z}(f, g, h), \mathcal{C}E_{x,y}(f, g)$  is a proposition.
- In the axioms for a category, we have that  $E$  behaves like equality (is reflexive and a congruence for  $T, I, E$ .)
  - Univalence at  $A$  means that  $f = g$  is equivalent to  $\mathcal{C}E_{x,y}(f, g)$ .
  - $\mathcal{C}A(x, y)$  is a set.

# Univalent $\mathcal{L}_{\text{cat}}$ structures

- The indiscernibilities between  $a, b : \mathcal{CO}$  consist of
  - $\phi_{x\bullet} : \mathcal{CA}(x, a) \cong \mathcal{CA}(x, b)$  for each  $x : \mathcal{CO}$
  - $\phi_{\bullet z} : \mathcal{CA}(a, z) \cong \mathcal{CA}(b, z)$  for each  $z : \mathcal{CO}$
  - $\phi_{\bullet\bullet} : \mathcal{CA}(a, a) \cong \mathcal{CA}(b, b)$
  - The following for all appropriate  $w, x, y, z, f, g, h$ :

$$\mathcal{CT}_{x,y,a}(f, g, h) \leftrightarrow \mathcal{CT}_{x,y,b}(f, \phi_{y\bullet}(g), \phi_{x\bullet}(h))$$

$$\mathcal{CI}_a(f) \leftrightarrow \mathcal{CI}_b(\phi_{\bullet\bullet}(f))$$

$$\mathcal{CT}_{x,a,z}(f, g, h) \leftrightarrow \mathcal{CT}_{x,b,z}(\phi_{x\bullet}(f), \phi_{\bullet z}(g), h)$$

$$\mathcal{CE}_{x,a}(f, g) \leftrightarrow \mathcal{CE}_{x,b}(\phi_{x\bullet}(f), \phi_{x\bullet}(g))$$

$$\mathcal{CT}_{a,z,w}(f, g, h) \leftrightarrow \mathcal{CT}_{b,z,w}(\phi_{\bullet z}(f), g, \phi_{\bullet w}(h))$$

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## Univalent $\mathcal{L}_{\text{cat}}$ structures

- The indiscernibilities between  $a, b : \mathcal{CO}$  consist of
  - $\phi_{x\bullet} : \mathcal{CA}(x, a) \cong \mathcal{CA}(x, b)$  for each  $x : \mathcal{CO}$
  - $\phi_{\bullet z} : \mathcal{CA}(a, z) \cong \mathcal{CA}(b, z)$  for each  $z : \mathcal{CO}$
  - $\phi_{\bullet\bullet} : \mathcal{CA}(a, a) \cong \mathcal{CA}(b, b)$
  - The following for all appropriate  $w, x, y, z, f, g, h$ :

$$\mathcal{CT}_{x,y,a}(f, g, h) \leftrightarrow \mathcal{CT}_{x,y,b}(f, \phi_{y\bullet}(g), \phi_{x\bullet}(h))$$

$$\mathcal{CI}_a(f) \leftrightarrow \mathcal{CI}_b(\phi_{\bullet\bullet}(f))$$

$$\mathcal{CT}_{x,a,z}(f, g, h) \leftrightarrow \mathcal{CT}_{x,b,z}(\phi_{x\bullet}(f), \phi_{\bullet z}(g), h)$$

$$\mathcal{CE}_{x,a}(f, g) \leftrightarrow \mathcal{CE}_{x,b}(\phi_{x\bullet}(f), \phi_{x\bullet}(g))$$

$$\mathcal{CT}_{a,z,w}(f, g, h) \leftrightarrow \mathcal{CT}_{b,z,w}(\phi_{\bullet z}(f), g, \phi_{\bullet w}(h))$$

$$\mathcal{CE}_{a,x}(f, g) \leftrightarrow \mathcal{CE}_{b,x}(\phi_{\bullet x}(f), \phi_{\bullet x}(g))$$

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- But this an isomorphism in the usual categorical sense.
- Univalence at  $O$  means that  $x = y$  is equivalent to  $x \cong y$ .
- cf. Complete Segal spaces

# The right notion of equivalence

## Main theorem

For two *univalent*  $\mathcal{L}$ -structures  $S, T$ ,

$$(S =_{\mathcal{L}\text{-Str}} T) \simeq (S \cong_{\mathcal{L}\text{-Str}} T) \simeq (S \cong_{\mathcal{L}\text{-Str}}^* T) \simeq (S \twoheadrightarrow T)$$

where  $\cong_{\mathcal{L}\text{-Str}}^*$  denotes levelwise equivalence up to indiscernibility and  $\twoheadrightarrow$  denotes a very split surjective morphism.

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## Very surjective morphisms of $\mathcal{L}_{\text{cat}}$ -structures

A *very surjective morphism* or *equivalence*  $F : \mathcal{C} \simeq \mathcal{D}$  of  $\mathcal{L}_{\text{cat}}$ -structures consists of surjections

- $FO : \mathcal{CO} \twoheadrightarrow \mathcal{DO}$
- $FA : \mathcal{CA}(x, y) \twoheadrightarrow \mathcal{DA}(Fx, Fy)$  for every  $x, y : \mathcal{CO}$
- $FT : \mathcal{CT}(f, g, h) \twoheadrightarrow \mathcal{DT}(Ff, Fg, Fh)$  for all  $f : \mathcal{CA}(x, y), g : \mathcal{CA}(y, z), h : \mathcal{CA}(x, z)$
- $FE : \mathcal{CE}(f, g) \twoheadrightarrow \mathcal{DE}(Ff, Fg)$  for all  $f, g : \mathcal{CA}(x, y)$
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- $FA : \mathcal{C}A(x, y) \twoheadrightarrow \mathcal{D}A(Fx, Fy)$  for every  $x, y : \mathcal{C}O$
- $FT : \mathcal{C}T(f, g, h) \leftrightarrow \mathcal{D}T(Ff, Fg, Fh)$  for all  $f : \mathcal{C}A(x, y), g : \mathcal{C}A(y, z), h : \mathcal{C}A(x, z)$
- $FE : (f = g) \leftrightarrow (Ff = Fg)$  for all  $f, g : \mathcal{C}A(x, y)$
- $FI : \mathcal{C}I(f) \leftrightarrow \mathcal{D}I(Ff)$  for all  $f : \mathcal{C}A(x, x)$

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# Summary

For every signature  $\mathcal{L}$ , we have

- a notion of structure,
- a notion of indiscernibility within each sort,
- a notion of univalent structures,
- a notion of equivalence,
- a univalence theorem.



# Summary

For every signature  $\mathcal{L}$ , we have

- a notion of structure,
- a notion of indiscernibility within each sort,
- a notion of univalent structures,
- a notion of equivalence,
- a univalence theorem.

The paper includes examples of

- $\dagger$ -categories,
- profunctors,
- bicategories,
- opetopic bicategories,
- ...

## Current and future work

- Drop the splitness condition for certain structures.
- Extend to infinite structures.
- Formulate an analogue to the Rezk completion.
- Translate the theory into one about structures which can include explicit functions.
- Explore mathematics within examples.
- Give a model-category-theoretic account.

Thank you!