

# Coinductive control of inductive data types

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based on:

*Coinductive control of inductive data types*, North & Péroux  
*Measuring data types*, Mulder, North & Péroux  
and work in progress

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# Outline

Overview and background

Endofunctors

Work in progress: generalization

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## Overview

### Theorem (Mulder-N.-Péroux)

The category of algebras of an *accessible, lax symmetric monoidal* endofunctor on a *locally presentable, symmetric monoidal closed* category is enriched over the category of coalgebras of the same endofunctor. For any such category  $\mathcal{C}$ , we get a functor

$$\text{Endo}_{\text{alsm}}(\mathcal{C}) \rightarrow \text{EnrCat}.$$

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### Gain

More “initial algebras” (e.g. generalized W-types)

## Previous work on coalgebraic enrichment

### Universal measuring coalgebra (Sweedler, Wraith 1968)

For  $k$ -algebras  $A$  and  $B$ , there is a  $k$ -coalgebra  $\underline{\text{Alg}}(A, B)$

- ▶ which underlies an enrichment of  $k$ -algebras in  $k$ -coalgebras
- ▶ whose *set-like elements* are in bijection with  $\text{Alg}(A, B)$ .

### Analogues

- ▶ Anel-Joyal 2013 (dg-algebras)
- ▶ Hyland-Lopez Franco-Vasilakopoulou 2017 (monoids)
- ▶ Vasilakopoulou 2019 ( $\mathcal{V}$ -categories)
- ▶ Péroux 2022 ( $\infty$ -algebras of an  $\infty$ -operad)
- ▶ McDermott-Rivas-Uustalu 2022 (monads)
- ▶ North-Péroux 2023 (algebras of endofunctors)
- ▶ ...

## Motivation: inductive types

- ▶ In functional programming, most types are defined *inductively*.
- ▶ Categorically: initial alg of polynomial endofunctor (W-type)

### Example: $\mathbb{N}$

- ▶  $\mathbb{N}$  is the initial algebra of the endofunctor  $X \mapsto X + 1$  (on Set)
- ▶ The terminal coalgebra is  $\mathbb{N}^\infty$
- ▶ This functor satisfies the hypotheses of our theorem.

### Example: lists in a set $A$

- ▶ The set of lists in  $A$  is the initial algebra of  $X \mapsto 1 + A \times X$ .
- ▶ The terminal coalgebra is the set of *streams* in  $A$ .
- ▶ With a commutative monoid structure on  $A$ , this functor satisfies the hypotheses of our theorem.



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## Measuring in general

Fix a *locally presentable, symmetric monoidal closed* category  $\mathcal{C}$  and an *accessible, lax symmetric monoidal* endofunctor  $F$ .

### Definition: measure

For algebras  $(A, \alpha)$ ,  $(B, \beta)$  a *measure*  $(A, \alpha) \rightarrow (B, \beta)$  is a coalgebra  $(C, \chi)$  together with a morphism  $\phi : C \rightarrow \underline{\mathcal{C}}(A, B)$  satisfying:

$$\begin{array}{ccccc}
 & & FC & \xrightarrow{F(\phi)} & F(\underline{\mathcal{C}}(A, B)) & \longrightarrow & \underline{\mathcal{C}}(FA, FB) \\
 C & \begin{array}{l} \nearrow \chi \\ \searrow \phi \end{array} & & & & & \downarrow \beta \\
 & & \underline{\mathcal{C}}(A, B) & \xrightarrow{\alpha} & \underline{\mathcal{C}}(FA, B) & & 
 \end{array}$$

The *universal measure*  $\underline{\text{Alg}}(A, B)$  is the terminal one.

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### Theorem (N.-Péroux)

The universal measure  $\underline{\text{Alg}}(A, B)$  always exists, and these are the hom-coalgebras of an enrichment of  $\text{Alg}_F$  in  $\text{CoAlg}_F$ .

# Measuring for the natural numbers

## Measuring

For algebras  $A, B$ , a *measure*  $A \rightarrow B$  is a coalgebra  $C$  together with a function  $C \rightarrow A \rightarrow B$  such that

- ▶  $f_c(0_A) = 0_B$  for all  $c \in C$ ;
- ▶  $f_c(a + 1) = 0_B$  for all  $\llbracket c \rrbracket = 0$  and for all  $a \in A$ ;
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What is this?

## Set-like elements in general

### Definition: set-like elements

The *set-like elements* are

$$\mathbb{1} \rightarrow \underline{\text{Alg}}(A, B) \quad \text{in } \text{CoAlg}(F)$$

i.e., elements of  $\text{Alg}(A, B)$ .

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### Definition

So we call elements of the underlying of  $\underline{\text{Alg}}(A, B)$  *n-partial algebra homomorphisms*.

## What are the non-set-like elements?

- ▶ Let  $\mathfrak{n}$  denote the quotient of  $\mathbb{N}$  by  $m = n$  for all  $m \geq n$ .
- ▶ Let  $\mathfrak{n}^\circ$  denote the subobject of  $\mathbb{N}^\infty$  consisting of  $\{0, \dots, n\}$ .

### Example

$$\text{Alg}(\mathfrak{n}, A) \cong \begin{cases} * & \text{if } n_A = m_A \text{ for all } m \geq n; \\ \emptyset & \text{otherwise.} \end{cases}$$

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- ▶ So there is at least always an  $n$ -partial homomorphism out of  $n$  (which is unique).



# What can we do with this? Generalize W-types, i.e., initial algebras.

## Definition: $C$ -initial objects

For a coalgebra  $C$ , a  $C$ -initial algebra is an algebra  $A$  such that for all other algebras  $B$  there is a unique

$$C \rightarrow \underline{\text{Alg}}(A, B).$$

## Examples

For the natural-numbers endofunctor:

- ▶  $\mathbb{N}$  is the  $\mathbb{N}^\infty$ -initial algebra (i.e., initial wrt total algebra homs)
- ▶  $\mathfrak{n}$  is the  $\mathfrak{n}^\circ$ -initial algebra (i.e., initial wrt partial algebra homs)

## Examples

On a locally presentable symmetric monoidal category  $\mathcal{C}$ :

(id) The identity endofunctor

(A) The constant endofunctor at fixed commutative monoid  $A$

(GF) The composition of two instances

( $F \otimes G$ ) The tensor of two instances ( $\mathcal{C}$  closed)

( $F + G$ ) The coproduct of an instance  $F$  and an ' $F$ -module'  $G$

( $\text{id}^A$ ) The exponential  $\text{id}^A$  at object  $A$  ( $\mathcal{C}$  cartesian closed)

( $W$ -type) The polynomial endofunctor associated to a morphism  $f : X \rightarrow Y$ , given a commutative monoid structure on  $Y$  and an oplax symmetric monoidal structure on the preimage functor  $f^{-1} : \mathcal{C} \rightarrow \text{Set}$  ( $\mathcal{C} := \text{Set}$ )

(d.e.s.) A discrete equational system of Leinster (monoidal structure on  $\mathcal{C}$  is cocartesian,  $\mathcal{C}$  has binary products that preserve filtered colimits)

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# Proof sketch of main theorem<sup>1</sup>

## Convolution algebra

We get a functor

$$[-, -] : \text{CoAlg}^{\text{op}} \times \text{Alg} \rightarrow \text{Alg}$$

$$(C, \chi), (A, \alpha) \mapsto (\underline{\mathcal{C}}(C, A), ?)$$

where ? is the composite

$$F\underline{\mathcal{C}}(C, A) \rightarrow \underline{\mathcal{C}}(FC, FA) \xrightarrow{\alpha^* \chi^*} \underline{\mathcal{C}}(C, A).$$

Then we use the adjoint functor theorem to get an enriched hom

$$\underline{\text{Alg}}(-, -) : \text{Alg}^{\text{op}} \times \text{Alg} \rightarrow \text{CoAlg}.$$

---

<sup>1</sup> $\mathcal{C}$  a locally presentable, symmetric monoidal closed category;  $F$  an accessible, lax symmetric monoidal endofunctor

## Generalizations/analogues: more convolution algebras<sup>3</sup>

Let  $F$  be lax symmetric monoidal,  $G$  colax symmetric monoidal and colax closed.

- ▶ For  $F, G : \mathcal{C} \rightarrow \mathcal{D}$ :  $(F, G)$ -dialgebras<sup>2</sup> are enriched in  $(G, F)$ -dialgebras.

From

$$\underline{FC}(C, A) \rightarrow \underline{C}(FC, FA) \xrightarrow{\alpha^* \chi^*} \underline{C}(GC, GA) \rightarrow \underline{GC}(C, A).$$

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<sup>2</sup>objects are pairs  $(X \in \mathcal{C}, \delta : FX \rightarrow GX)$

<sup>3</sup>all categories locally presentable, symmetric monoidal closed; all functors accessible

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- ▶ For  $F : \mathcal{C} \rightarrow \mathcal{E}$ ,  $G : \mathcal{D} \rightarrow \mathcal{E}$ :  $F \downarrow G$  is enriched in  $G \downarrow F$ .

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## Summary

We have

- ▶ that algebras are enriched in coalgebras (under certain hypotheses)
- ▶ an interpretation as notion of partial algebra homomorphism (especially in the case  $\mathbb{N}$ )
- ▶ many examples
- ▶ a more refined notion of initial algebra
- ▶ a generalization ...



Thank you!