

# Homotopical models of type theory

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25 June 2019

# Outline

Display map categories

Id-types and weak factorization system

Type-theoretic weak factorization systems

Coherence via universes and the simplicial set model

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# Display map categories<sup>1</sup>

## Definition

A *display map category*  $(\mathcal{C}, \mathcal{D})$  consists of a category  $\mathcal{C}$  with a terminal object and a class  $\mathcal{D}$  (the *display maps*) of morphisms of  $\mathcal{C}$  such that

1. every pullback of every display map exists,
2. every pullback of every display map is a display map, and
3. every map to a terminal object is a display map.

## Every display map category is a comprehension category

- ▶ The category of contexts is  $\mathcal{C}$ .
- ▶ The category of types  $\mathcal{T}$  is the full subcategory of  $\mathcal{C}^{\rightarrow}$  spanned by those objects which are in  $\mathcal{D}$ .
- ▶ Comprehension  $\mathcal{T} \rightarrow \mathcal{C}^{\rightarrow}$  is just the inclusion functor.

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<sup>1</sup>Cf. Taylor's *classes of display maps*, Shulman's *type-theoretic fibration categories*, and Joyal's *clans and tribes*

# Display map categories

- ▶ Objects of the category represent contexts.
- ▶ Display maps  $p : T \rightarrow \Gamma$  represent types  $\Gamma \vdash T$ .
  - ▶ We're taking a *fibrational* perspective.
  - ▶ Given a point  $\gamma : * \rightarrow \Gamma$  (which represents a term  $\gamma : \Gamma$ ), the fiber  $p^{-1}(\gamma)$  represents the type  $T(\gamma)$ .

- ▶ Conditions 1 and 2: The substitution of a map of contexts  $s : \Delta \rightarrow \Gamma$  into  $p$  is represented by taking the pullback:

$$\begin{array}{ccc} s^* T & \longrightarrow & T \\ \downarrow s^* p & & \downarrow p \\ \Delta & \xrightarrow{s} & \Gamma \end{array}$$

- ▶ Condition 3: The terminal object represents the empty context, so contexts are the same as types in the empty context.
- ▶ Sections  $t$  of  $p$  represent terms  $\Gamma \vdash t : T$ .
  - ▶ In the empty context, terms of a type  $T$  are just points  $* \rightarrow T$ .

## Not a true model of HoTT

- ▶ This generally does *not* form a *split* comprehension category.
- ▶ But there are strictification theorems that turn any comprehension category into an equivalent split one.
- ▶ In this talk, I'm appealing to the strictification theorem of Lumsdaine-Warren (2015) which also turns the following type constructors, which I'll give as only *weakly* stable under substitution/pullback, to their strictly stable counterparts.

## Type constructors in display map categories

Consider two display maps in a display map category  $(\mathcal{C}, \mathcal{D})$ :

$$\begin{array}{ccc} T & & \\ \downarrow p & & \\ \Delta & \xrightarrow{s} & \Gamma \end{array}$$

### $\Sigma$ -types<sup>2</sup>

The  $\Sigma$ -type of  $p$  and  $s$  is  $\Sigma_s p := s \circ p$ . Then  $(\mathcal{C}, \mathcal{D})$  models  $\Sigma$ -types if  $\mathcal{D}$  is closed under composition.

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<sup>2</sup>strong sums in the sense of Jacobs '90

## Type constructors in display map categories

Consider two display maps in a display map category  $(\mathcal{C}, \mathcal{D})$ :

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Consider two display maps in a display map category  $(\mathcal{C}, \mathcal{D})$ :

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### $\Pi$ -types<sup>3</sup>

A  $\Pi$ -type of  $p$  and  $s$  is a display map  $\Pi_s p$  with codomain  $\Gamma$  and the universal property

$$\mathcal{C}/\Gamma(g, \Pi_s p) \cong \mathcal{C}/\Delta(s^* g, p)$$

for every  $g \in \mathcal{C}/\Gamma$ . Then  $(\mathcal{C}, \mathcal{D})$  models  $\Pi$ -types if there is a  $\Pi$ -type  $\Pi_s p$  for every composable  $s, p$ .

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<sup>3</sup>products in the sense of Jacobs '90

## Type constructors in display map categories

Consider two display maps in a display map category  $(\mathcal{C}, \mathcal{D})$ :

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# Type constructors in display map categories

Suppose that the ambient display map category  $(\mathcal{C}, \mathcal{D})$  models  $\Sigma$ -types. Consider a display map  $p : T \rightarrow \Gamma$ .

## Id-types<sup>4</sup>

An Id-type of  $p$  consists of

- ▶ a factorization of the diagonal  $p \rightarrow p \times p$  in the slice  $\mathcal{C}/\Gamma$

such that

- ▶  $\epsilon(p)$  is a display map (which makes  $\text{Id}(p)$  a display map),
- ▶ every pullback of  $r(p)$  as shown here has the left lifting property against  $\mathcal{D}$ .

$$\begin{array}{ccccc}
 T & \xrightarrow{r(p)} & \iota(p) & \xrightarrow{\epsilon(p)} & T \times_{\Gamma} T \\
 & \searrow p & \downarrow \text{Id}(p) & & \swarrow p \times p \\
 & & \Gamma & & 
 \end{array}$$

$$\begin{array}{ccccc}
 s^* r(p) & \xrightarrow{s^* \iota(p)} & \iota(p) & & \\
 \uparrow & & \downarrow & \nearrow r(p) & \downarrow \pi_i \epsilon(p) \\
 \Delta & \xrightarrow{\quad} & \Gamma & & \Gamma \\
 \Downarrow & & \downarrow & & \Downarrow \\
 \Delta & \xrightarrow{s} & \Gamma & & \Gamma
 \end{array}$$

$(\mathcal{C}, \mathcal{D})$  models Id-types if there is an Id-type of every display map.

<sup>4</sup>Paulin-Mohring '93, and *weakly stable* in the sense of Lumsdaine-Warren '15

# Outline

Display map categories

**Id-types and weak factorization system**

Type-theoretic weak factorization systems

Coherence via universes and the simplicial set model

## The Id-type<sup>5</sup>

- ▶ The Id-formation rule

$$\frac{\Gamma \vdash T}{\Gamma, t : T, t' : T \vdash \text{Id}_T(t, t')}$$

requires that there is a display map  $\epsilon(p) : \text{Id}(p) \rightarrow p \times p$ .

- ▶ The Id-introduction rule

$$\frac{\Gamma \vdash T}{\Gamma, t : T \vdash r(t) : \text{Id}_T(t, t')}$$

requires that there is a morphism  $r(p) : p \rightarrow \text{Id}(p)$ .

These two rules require that there is a factorization of the diagonal through a display map. We think of  $\text{Id}(p)$  as a path object for  $p$ .

$$p \xrightarrow{r(p)} \text{Id}(p) \xrightarrow{\epsilon(p)} p \times p$$

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<sup>5</sup>Awodey-Warren '08

## The Id-type

- ▶ The Martin-Löf Id-elimination rule

$$\frac{\begin{array}{c} \Gamma, t : T, t' : T, q : \text{Id}_T(t, t') \vdash E(t, t', q) \\ \Gamma, t : T \vdash i(t) : E(t, t, r(t)) \end{array}}{\Gamma, t : T, t' : T, q : \text{Id}_T(t, t') \vdash j(i, t, t', q) : E(t, t', q)}$$

requires that there is a lift in the following diagram in  $\mathcal{C}/\Gamma$  making the bottom triangle commute.

$$\begin{array}{ccc} p & \xrightarrow{i} & e \\ r(p) \downarrow & & \downarrow \\ \text{Id}(p) & \equiv & \text{Id}(p) \end{array}$$

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$$\begin{array}{ccc} p & \xrightarrow{i} & e \\ r(p) \downarrow & \nearrow j & \downarrow \\ \text{Id}(p) & \xlongequal{\quad} & \text{Id}(p) \end{array}$$

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requires that there is a lift in the following diagram in  $\mathcal{C}/\Gamma$  making the bottom triangle commute.

$$\begin{array}{ccc} p & \xrightarrow{i} & e \\ r(p) \downarrow & \nearrow j & \downarrow \\ \text{Id}(p) & \xlongequal{\quad} & \text{Id}(p) \end{array}$$

- ▶ The Martin-Löf Id-computation rule

$$\frac{\Gamma, t : T, t' : T, q : \text{Id}_T(t, t') \vdash E(t, t', q) \quad \Gamma \vdash i : E(t, t, r(t))}{\Gamma, t : T \vdash j(i, t, t, r(t)) = i(t) : E(t, t, r(t))}$$

requires that the top triangle commute.



## The Id-type

- ▶ If we have such lifts, then every  $r(p)$  has the left lifting property against every display map.

$$\begin{array}{ccc} p & \xrightarrow{\alpha} & x \\ r(p) \downarrow & & \downarrow d \\ \text{Id}(p) & \xrightarrow{\beta} & y \end{array}$$

- ▶ The Paulin-Mohring variant asks that this property of  $r(p)$  is stable under certain pullbacks.

## The Id-type

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## The Id-type

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- ▶ The Paulin-Mohring variant asks that this property of  $r(p)$  is stable under certain pullbacks.

## Weak factorization systems

A weak factorization system on a category  $\mathcal{C}$  is a pair  $(\mathcal{L}, \mathcal{R})$  of classes of morphisms of  $\mathcal{C}$  such that

- ▶ every morphism of  $\mathcal{C}$  factors into a morphism of  $\mathcal{L}$  followed by a morphism of  $\mathcal{R}$ ,
- ▶  $\mathcal{L}$  is exactly the class of morphisms with the left lifting property against  $\mathcal{R}$ , and
- ▶  $\mathcal{R}$  is exactly the class of morphisms with the right lifting property against  $\mathcal{L}$ .

NB: A model category contains two weak factorization systems:  
 $(\mathcal{C}, \mathcal{W} \cap \mathcal{F})$  and  $(\mathcal{C} \cap \mathcal{W}, \mathcal{F})$ .

## Weak factorization systems<sup>6</sup>

- ▶ The Paulin-Mohring variant is exactly what is needed to generate a weak factorization system.

Consider a category of display maps  $(\mathcal{C}, \mathcal{D})$  which models  $\Sigma$ -, Id-types.

- ▶ Thinking of the Id-types as path types, we can form the *mapping path space* factorization that takes any morphism  $f : X \rightarrow Y$  in  $\mathcal{C}$  to

$$X \xrightarrow{1 \times (r(Y) \circ f)} X \times_Y \text{Id}(Y) \xrightarrow{\pi_Y \circ (f \times 1)^* \epsilon(Y)} Y$$

where  $X \times_Y \text{Id}(Y)$  is the pullback

$$\begin{array}{ccc} X \times_Y \text{Id}(Y) & \longrightarrow & \text{Id}(Y) \\ \downarrow & \lrcorner & \downarrow \epsilon \\ X \times Y & \xrightarrow{f \times 1} & Y \times Y \end{array}$$

- ▶ The right map is in  $\mathcal{D}$  since it is a combination of pullbacks and compositions of display maps.

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<sup>6</sup>Gambino-Garner '08

## Weak factorization systems<sup>7</sup>

- ▶ The left map  $X \xrightarrow{1 \times (r(Y) \circ f)} X \times_Y \text{Id}(Y)$  has the left lifting property against  $\mathcal{D}$  because it can be obtained as the pullback.

$$\begin{array}{ccccc}
 & & X \times_Y \text{Id}(Y) & \xrightarrow{\quad} & \text{Id}(Y) \\
 & \nearrow^{f^* r(p)} & \downarrow & \nearrow^{r(Y)} & \downarrow \pi_{0 \in (Y)} \\
 X & \xrightarrow{\quad} & Y & & \\
 \parallel & & \parallel & & \\
 X & \xrightarrow{\quad} & X & \xrightarrow{f} & Y \\
 & & & & \parallel \\
 & & & & Y
 \end{array}$$

- ▶ Our factorization takes a map to one in  $\square \mathcal{D}$  followed by one of  $\mathcal{D}$ .
- ▶ By formal nonsense, this produces a weak factorization system  $(\square \mathcal{D}, \overline{\mathcal{D}})$  where  $\overline{\mathcal{D}}$  is the retract closure of  $\mathcal{D}$ .

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## Type-theoretic weak factorization systems<sup>8</sup>

- ▶ Every display map category  $(\mathcal{C}, \mathcal{D})$  modeling  $\Sigma$ - and Id-types produces a weak factorization system  $(\square\mathcal{D}, \overline{\mathcal{D}})$  on  $\mathcal{C}$ .
- ▶ Which weak factorization systems harbor models of  $\Sigma$ - and Id-types?
- ▶ First, if  $(\mathcal{C}, \mathcal{D})$  is a display map category modeling  $\Sigma$ - and Id-types, then  $(\mathcal{C}, \overline{\mathcal{D}})$  is a display map category modeling  $\Sigma$ - and Id-types (when  $\mathcal{C}$  is Cauchy complete).
- ▶ Every object in such a weak factorization system must be fibrant (every map to the terminal object must be in the right class).

$$\begin{array}{ccc} X & \xlongequal{\quad} & X \\ \lambda(!) \downarrow & \nearrow \pi_X & \downarrow \\ X \times \text{Id}(\ast) & \xrightarrow{\quad} & \ast \\ & \rho(!) & \end{array}$$



## Type-theoretic weak factorization systems

Remember: A display map category  $(\mathcal{C}, \mathcal{D})$  models  $\Pi$ -types if for every display map  $p$ ,

1. pullback along  $p$  has a partial right adjoint  $\Pi_p$  defined on display maps
2. such that  $\Pi_p$  preserves  $\mathcal{D}$ .

But 2. is equivalent to

3. pullback along  $p$  preserves  $\square D$ .

### Definition

A weak factorization system  $(\mathcal{L}, \mathcal{R})$  satisfies the *Frobenius condition* if  $\mathcal{L}$  is stable under pullback along  $\mathcal{R}$ .

Say that  $(\mathcal{L}, \mathcal{R})$  is *type theoretic* if (1) all objects are fibrant and (2) it satisfies the Frobenius condition.

# Type-theoretic weak factorization systems

There is an equivalence between the category of display map categories modeling  $\Sigma$ - and Id-types and the category of type theoretic weak factorization systems on a finitely complete category.

## Theorem

Consider a weak factorization system  $(\mathcal{L}, \mathcal{R})$  on a finitely complete category  $\mathcal{C}$ .

$(\mathcal{C}, \mathcal{R})$  is a display map category modeling  $\Sigma$ - and Id-types if and only if (1) every object is fibrant and (2) it satisfies the Frobenius condition.

If for every map  $r$  in  $\mathcal{R}$ , the pullback functor  $r^*$  has a partial right adjoint defined on  $\mathcal{R}$ , then  $(\mathcal{C}, \mathcal{R})$  models  $\Pi$ -types.

Given a type theoretic weak factorization system, you recover a model of Id-types by just factoring the diagonal.

# Type-theoretic weak factorization systems

Examples from Cisinski model categories:

Suppose you have a model structure  $(\mathcal{C}, \mathcal{W}, \mathcal{F})$  on a finitely complete category  $\mathcal{M}$ .

- ▶ You can always restrict the wfs  $(\mathcal{C} \cap \mathcal{W}, \mathcal{F})$  to a wfs  $(\mathcal{C}_{\mathcal{F}} \cap \mathcal{W}_{\mathcal{F}}, \mathcal{R}_{\mathcal{F}})$  on the full subcategory  $\mathcal{M}_{\mathcal{F}}$  of fibrant objects.
- ▶  $\mathcal{M}_{\mathcal{F}}$  is closed under pullbacks along morphisms of  $\mathcal{R}_{\mathcal{F}}$ .
- ▶ So  $(\mathcal{M}_{\mathcal{F}}, \mathcal{R}_{\mathcal{F}})$  is a display map category modeling  $\Sigma$ -types.
- ▶  $\mathcal{W}_{\mathcal{F}}$  is always stable under pullback in  $\mathcal{M}_{\mathcal{F}}$ .
- ▶ In Cisinski model categories<sup>9</sup>,  $\mathcal{C}$  is the class of monos, and so is always stable under pullback.
- ▶ So  $(\mathcal{C}_{\mathcal{F}} \cap \mathcal{W}_{\mathcal{F}}, \mathcal{R}_{\mathcal{F}})$  is Frobenius, and  $(\mathcal{M}_{\mathcal{F}}, \mathcal{R}_{\mathcal{F}})$  models Id-types.
- ▶ Cisinski model categories are locally cartesian closed, so  $(\mathcal{M}_{\mathcal{F}}, \mathcal{R}_{\mathcal{F}})$  models  $\Pi$ -types.

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<sup>9</sup>Cisinski '06

# Type-theoretic weak factorization systems

Cisinski model categories:

- ▶ Quillen model structure on  $s\text{Set}$  (fibrant objects are Kan complexes)
- ▶ Joyal model structure on  $s\text{Set}$  (fibrant objects are quasicategories)
- ▶ Cubical sets

## Type-theoretic weak factorization systems

Examples from internal reflexive graphs/pseudo-relations:<sup>10</sup>

Suppose you have, for every object  $X$  of a category  $\mathcal{C}$ , a reflexive graph on  $X$ :

$$X \xrightarrow{r} \Gamma X \xrightarrow{\epsilon} X \times X$$

It is

- ▶ *strictly transitive* when there is a composition  $\mu : \Gamma X \times_X \Gamma X \rightarrow \Gamma X$  making this into an internal category,
- ▶ *strictly connected* when there is connection  $\delta : \Gamma X \rightarrow \Gamma^2 X$  and a strength  $\tau : X \times \Gamma(*) \rightarrow \Gamma(X)$  making certain diagrams commute,
- ▶ *strictly symmetric* when there is an involution  $\iota : \Gamma X \rightarrow \Gamma X$  fixing  $r$  and switching the endpoints.

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<sup>10</sup>van den Berg-Garner '12, North '17

## Type-theoretic weak factorization systems

There is an equivalence between the category of transitive, connected, symmetric, reflexive graphs and the category of display map categories modeling  $\Sigma$ - and Id-types.

### Theorem

Consider a weak factorization system  $(\mathcal{L}, \mathcal{R})$  on a finitely complete category  $\mathcal{C}$ .

$(\mathcal{L}, \mathcal{R})$  is a display map category modeling  $\Sigma$ - and Id-types if and only if  $(\mathcal{L}, \mathcal{R})$  is generated by a transitive, connected, symmetric, reflexive graph.

In this case, the graph is data of the model of Id-types.

## Type-theoretic weak factorization systems

Examples of transitive, connected, symmetric, reflexive graphs:

- ▶ In groupoids, the underlying graph  $\mathcal{G}^{\cong}$  of a groupoid  $\mathcal{G}$
- ▶ In categories, the underlying graph  $\mathcal{C}^{\cong}$  of the core of a category  $\mathcal{C}$

Moore path space in topological spaces:<sup>11</sup>

- ▶ The naive path space  $X^I$  does not have a composition  $\mu : X^I \times_X X^I \rightarrow X^I$  that is unital (on either side).
- ▶ Let  $\Gamma(X)$  be the space of paths of any length:  
 $\{(p, r) \in X^{\mathbb{R}_{\geq 0}} \times \mathbb{R}_{\geq 0} \mid p(s) = p(r) \text{ for all } s \geq r\}$
- ▶  $r(x) := (c_x, 0)$
- ▶  $\epsilon(p, r) := (p(0), p(r))$
- ▶ Get the wfs on *Top* whose right maps are the Hurewicz fibrations.

More generally:

- ▶ Given a connected, reflexive graph, one can form the free internal groupoid which is then a transitive, connected, symmetric, reflexive graphs.
- ▶ Examples:  $X^{y(1)}$  in simplicial sets or cubical sets with connections

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<sup>11</sup>May '75

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# Groupoids

Our display map category model of  $\Sigma$ -types and Id-types is not strictly stable under substitution.

$$\begin{array}{ccccc} \bullet & \longrightarrow & \bullet & \longrightarrow & T \\ \downarrow & \lrcorner & \downarrow & \lrcorner & \downarrow p \\ E & \xrightarrow{\tau} & \Delta & \xrightarrow{\sigma} & \Gamma \end{array}$$

There's an easy solution in this case.<sup>12</sup>

- ▶ We construct the comprehension category where functors  $\Gamma \rightarrow \mathit{Gpd}$  represent types dependent on  $\Gamma$ .
- ▶ This is a split comprehension category just because composition is associative.

$$E \xrightarrow{\tau} \Delta \xrightarrow{\sigma} \Gamma \xrightarrow{p} T$$

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<sup>12</sup>Hofmann-Streicher '98

# Universes

## Universes

A *system of universes* in a display map category  $(\mathcal{C}, \mathcal{D})$  is a collection of display maps  $u_i : \tilde{U}_i \rightarrow U_i$  such that for every display map  $p : T \rightarrow \Gamma$ , there is a morphism  $\alpha : \Gamma \rightarrow U_i$  for which  $p$  is a pullback  $\alpha^* u_i$ .

$$\begin{array}{ccc} T & \longrightarrow & \tilde{U}_i \\ p \downarrow & \lrcorner & \downarrow u_i \\ \Gamma & \xrightarrow{\alpha} & U_i \end{array}$$

## Local universes model<sup>13</sup>

- ▶ There is a left adjoint  $(-)_!$  to the inclusion of split comprehension categories into comprehension categories.
- ▶ We get a split comprehension category  $(\mathcal{C}, \mathcal{D})_!$  from any display map category,  $(\mathcal{C}, \mathcal{D})$  whose category of contexts is just  $\mathcal{C}$  and whose types in context  $\Delta$  are pairs of a morphism  $\sigma : \Delta \rightarrow \Gamma$  and a display map  $p : T \rightarrow \Gamma$ .

$$\begin{array}{ccc} \sigma^* T & \longrightarrow & T \\ \sigma^* p \downarrow & \lrcorner & \downarrow p \\ \Delta & \xrightarrow{\sigma} & \Gamma \end{array}$$

- ▶ It's split because substituting a  $\tau : E \rightarrow \Delta$  is given by composition.

$$\begin{array}{ccccc} & & & & T \\ & & & & \downarrow p \\ E & \xrightarrow{\tau} & \Delta & \xrightarrow{\sigma} & \Gamma \end{array}$$

## Simplicial set model<sup>14</sup>

- ▶ For every regular cardinal  $\alpha$ , there is a universe  $u_\alpha : \tilde{U}_\alpha \rightarrow U$ .
- ▶ This classifies Kan fibrations whose fibers each have cardinality  $\leq \alpha$ .
- ▶ The universe is *univalent*, meaning that the appropriate notion of sameness between fibers of  $u_\alpha$  corresponds to homotopy equivalence between display maps.
- ▶ The universe carries the structure of the type formers.

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<sup>14</sup>Voevodsky, Kapulkin-Lumsdaine '18

## Types in Kan complexes

- ▶  $\Sigma$  is just composition.
- ▶  $\Pi$  is the right adjoint of pullback.
- ▶ The Id-type of a Kan complex can be given by  $X^{\Delta[1]}$ .
- ▶ Propositions are either empty Kan complexes or contractible Kan complexes.
- ▶ Proposition-truncation is the 0-coskeleton
  - ▶ equivalently, add a 1-simplex between any two 0-simplices, a 2-simplex in any triangle of 1-simplices, etc...
- ▶ Sets are disjoint unions of contractible Kan complexes.
- ▶ Set-truncation is the 1-coskeleton
  - ▶ equivalently, add a 2-simplex in any triangle of 1-simplices, etc...
- ▶  $\mathbb{N}$  is the set  $\mathbb{N}$
- ▶ The circle  $S^1$  is a fibrant replacement of the simplicial set with one 0-simplex, and one non-degenerate 1-simplex.



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- ▶ The circle  $S^1$  is a fibrant replacement of the simplicial set with one 0-simplex, and one non-degenerate 1-simplex.



- ▶ To do: think about what univalent categories are in simplicial sets.

Thank you!